VERSION

2.2

WORLD CLASS CUSTOMER SUPPORT

WORLD CLASS AUDIT SOFTWARE

APEX AUDIT SYSTEM

Solutions For Internal Audit

APEX (Audit Planning and EXecution) is a highly customizable software product for Audit Management. APEX is a COTS- Commercial Off-the-shelf audit software. APEX can be used for performing Internal Audits and External Audits. APEX covers the entire gamut of the activities of an Audit Institution or Department, from Audit Planning to Audit Execution.

WORKING PAPERS

APEX integrates with Microsoft Office 2003 and 2007 and provides Auditors with powerful auditing tools in an environment that they are already comfortable with.

Use Microsoft Word and Excel to manage your audit working papers and to collaborate within the audit team. A nifty embedded Report Generation tool makes Report Creation very easy.

Key Features

- Audit Management
- Audit Program Library
- Electronic Work Papers
- Document Management
- Risk Management

Manage your Audit Processes with APEX.

APEX offers industry's most comprehensive Audit Management solutions to allow all stakeholders to collaborate, communicate, report, track, and share information during the entire audit life cycle. Banks, Corporations, National Audit Authorities and State Audit

Institutions and Governments can be benefited by using APEX as it provides complex Audit Related Services to avoid all kind of hassles associated with Audit Planning, Scheduling and Management. APEX has been designed based on close analysis of complex audit management scenarios in reputed Audit Institutions.

APEX enables you to assign parameters and weights to Audit Entities for the purpose of scoring them. A top level scorecard of Audit Entities enables you to pinpoint high priority areas and to assign audit resources in keeping with the prioritization.

APEX tracks audit entities in various ways including the sectors they belong to, the risks they are subjected to and also based on the audits they have undergone and the results of past audits.

APEX BENEFITS

APEX addresses major pain-points associated with managing an Audit Organization or Department. Creating an Audit Plan is as easy as using the Gantt Chart interface to assign Audits and Teams to plans. Standard Audit Forms used across the organization are automatically copied into the Working Paper area for each audit. Assignment of team to audits is aided by Staff Management features that inform the Audit Management about Auditors' availability, skills, experience and training levels.

APEX includes one of the industry's most advanced Work Paper Management Systems, enabling your organization to work from within Microsoft Office and collaborate using a central Web/Intranet component.

Ease of Use is one of the main benefits of APEX as it lets you leverage on your existing skills.

APEX AUDIT SYSTEM

World Class Audit Software

Manage Auditee Information Database

With APEX, it is very easy to maintain all information related to an Auditee or Audit Entity. APEX's EWP (Electronic Work Papers) component includes a full fledged document database that can be used to maintain Auditee documents like Annual Reports, basic information, financial statements, contact information and past audit reports with ease.

APEX allows you to enter the organizational structure of your Audit Entities accurately and specify elements like subunits, subsidiaries, departments, projects, processes, systems and activities. Each of the auditable elements can be separately or jointly audited.

While starting an audit of an entity, APEX provides the audit team with easy access to the auditee's past records, reports and audit performance. Issues and finding related to the auditee can be easily seen and audit performance can be compared over successive audits.

Results of past follow-ups and corrective action taken if any can also be reviewed, ensuring that your audit team goes into an audit fully

Get started quickly with APEX's Audit Program Library.

You can create your own Audit Programs from scratch and share them with other auditors or use the inbuilt audit programs.

APEX FEATURES

AUDIT PLANNING

- Org Structure Management
- Audit Staff Management
- Auditee Management
- Risk Assessment
- Prioritization
- Plan Generation

AUDIT EXECUTION

- Generation of Work Orders
- Updating of Audit Status
- Audit Work Breakdown
- Audit Programs
- Audit Task Assignment
- Management of Findings
- Follow-ups
- Recommendations

PLAN MONITORING

- View Audit Status
- View Staff History
- View Complete Audit History
- View Audit Calendar in Microsoft Outlook

WORKING PAPERS

- Storage for Work Papers for every Audit
- Collaborative Editing of Work Papers
- Work Paper Versioning
- Auditee Archive
- Audit Documents

ADMINISTRATION

- User Management
- Audit Trails
- Backup and Restore

FORMS & WORKFLOWS (CUSTOM ADD-ON)

- Digitization of internal forms used in Audit Process

SUPPORTED PLATFORMS

SERVER OS

- Windows 2003 Server
- Windows 2008 Server

APPLICATION SERVER

- Microsoft IIS 6.0 and above

RDBMS

- SQL Server 2005
- SQL Server 2008
- Oracle 10g and above
- MySQL 5.1 and above
- PostgreSQL 8.0 and above

CLIENT OS

- Windows XP SP2
- Windows Vista
- Windows 7

OFFICE SUITE

- Microsoft Office 2003
- Microsoft Office 2007

WEB BROWSER

- Mozilla Firefox 2.0 & above
- Internet Explorer 7.0 & above

Add your own Audit Programs

With APEX, it is trivially easy to create a new Audit Program and to assign work-items to Auditors. You can save and share Audit Programs across the organization and thus save effort and share expertise.

The rich inbuilt Audit Program Library takes care of the most common scenarios.

APEX provides a number of rich reports that help you in staffing, training and recruitment.

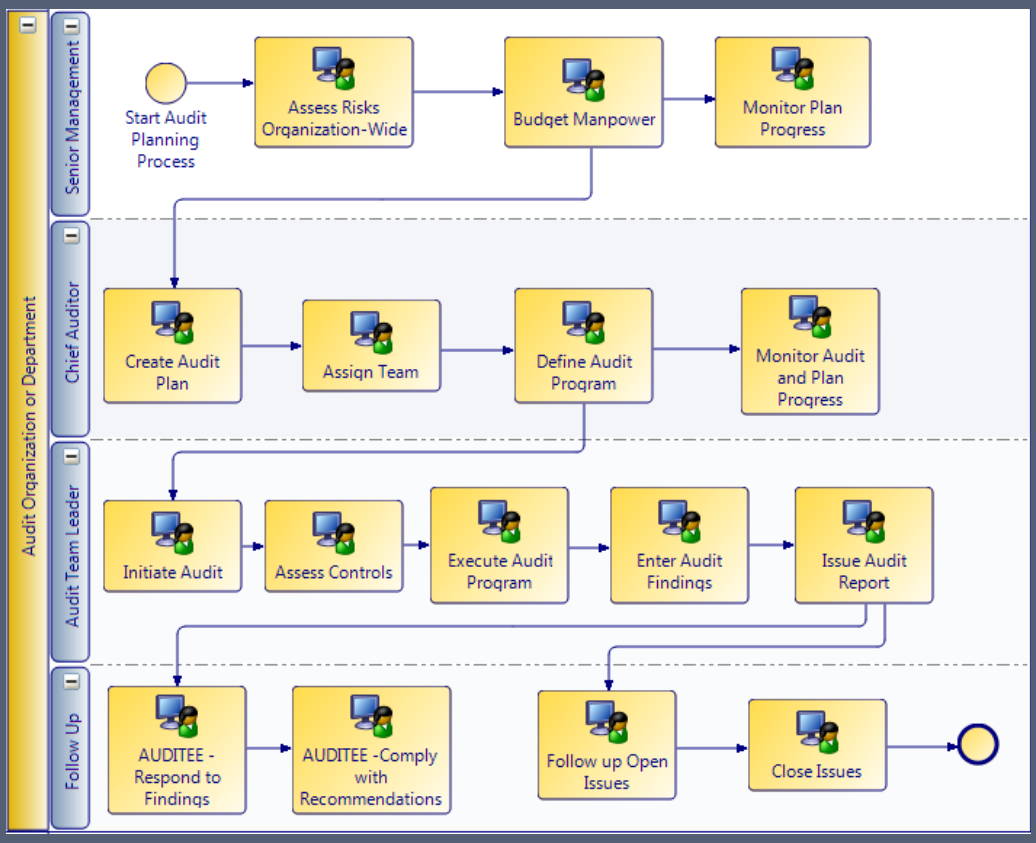
Information like the level of education of Auditors in each department, their sectoral experiences, skills and expertise are available as a 'Skill Matrix'.

Assigning a team with relevant skills to an Audit is easy with APEX.

Audit Staff information is at your fingertips with APEX

Auditors are able to navigate easily to the Audits assigned to them and even to the tasks assigned to them in the audits. The Audit Schedule or Plan is available online as well as within Microsoft Outlook 2003 and 2007.As the Plan changes, so do the Audit calendars within Outlook, ensuring that no deadlines are missed!

AUDIT MANAGEMENT FRAMEWORK



SUPPORT

An Email Away

APEX prides itself on the support it provides to its users. Typically, user training sessions are part of APEX implementation. Auditors are encouraged to leverage their existing knowledge of Microsoft Office.

A comprehensive Online Help system is provided both in the Web as well as Desktop interface. Sample Audits and Audit Programs ensure that you are able to create Audits and assign them to Auditors with ease.

In case you require more help, it is as simple as sending an email to: support@apexauditsystem.com

REDUCE

Your Costs

APEX includes a complete Document Management System. This inbuilt Document Management System ensures that you do not have to spend additionally on software licenses for managing your work papers. Features like tracking of document revisions, document access control and security, search, Tick Marks, cross-references, integration with the Web Component ensure that your Audit Software needs are fully met by APEX.

Ease of Use

Get started immediately with the aid of the online help documentation provided in the system. APEX's Web and Desktop components come with comprehensive inbuilt Help Documentation.

Leverage your existing knowledge of Microsoft Word and Excel.

Technologically Superior

- **Web (Intranet) and Desktop Component.**

  Collaborate using the Web Component. Work on Audit Reports and Working Papers using Microsoft Office 2003 and 2007. Enjoy the best of both worlds!

- **Well Integrated with your IT Setup.**

  APEX 2.2 integrates with your Active Directory setup, making user management and security robust and enhances integration. Works without Active Directory too!

- **Works with your favorite Database. Reduces Licensing Costs.**

  APEX supports many RDBMS software (Microsoft SQL Server, Oracle, MySQL, PostgreSQL and more!) out of the box. No need to switch from your existing database.

- **Easy Backup and Restore.**

  APEX performs automatic daily backups of the relational and the document data.

  Set up the backup system and sleep easy knowing that your data is safe!

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